



# Software Testing Foundation Level

Lecture 2 – Testing Throughout the  
Software Development Lifecycle

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2.1 Software Development Lifecycle Models

2.2 Test Levels

2.3 Test Types

2.4 Maintenance Testing

# Contents

## 2.1 Software Development Lifecycle Models

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# Software Development Lifecycle Models

- **Software development lifecycle (SDLC):**  
The activities performed at each stage in software development, and how they relate to one another logically and chronologically
- A software development lifecycle model describes
  - the types of activity performed at each stage in a software development project, and
  - how the activities relate to one another logically and chronologically.
- There are a number of different software development lifecycle models, each of which requires different approaches to testing.

# Software Development and Software Testing

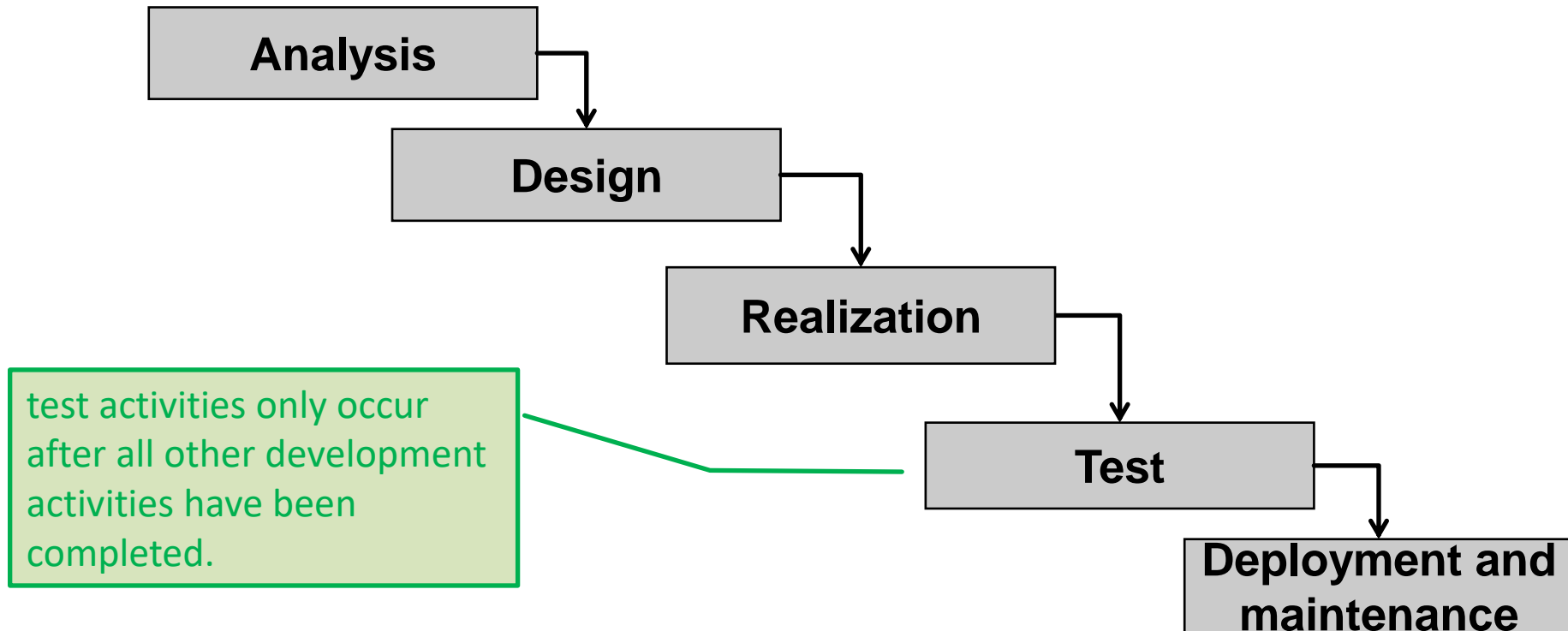
- In any software development lifecycle model, there are several characteristics of good testing:
  - For every development activity, there is a corresponding test activity
  - Each test level has test objectives specific to that level
  - Test analysis and design for a given test level begin during the corresponding development activity
  - Testers
    - participate in discussions to define and refine requirements and design
    - are involved in reviewing work products like requirements, design, and user stories
  - Test activities start in the early stages of the lifecycle

# Software Development and Software Testing

- Common software development lifecycle models in this context are defined as follows:
  - **Sequential development model**: A type of software development lifecycle model in which a complete system is developed in a linear way of several discrete and successive phases with no overlap between them.
  - Iterative and incremental development models
    - **Iterative development model**: A type of software development lifecycle model in which the component or system is developed through a series of **repeated cycles**.
    - **Incremental development model**: A type of software development lifecycle model in which the component or system is developed through a series of **increments**.

# Software Development and Software Testing

- Sequential development models
  - Waterfall model



# Software Development and Software Testing

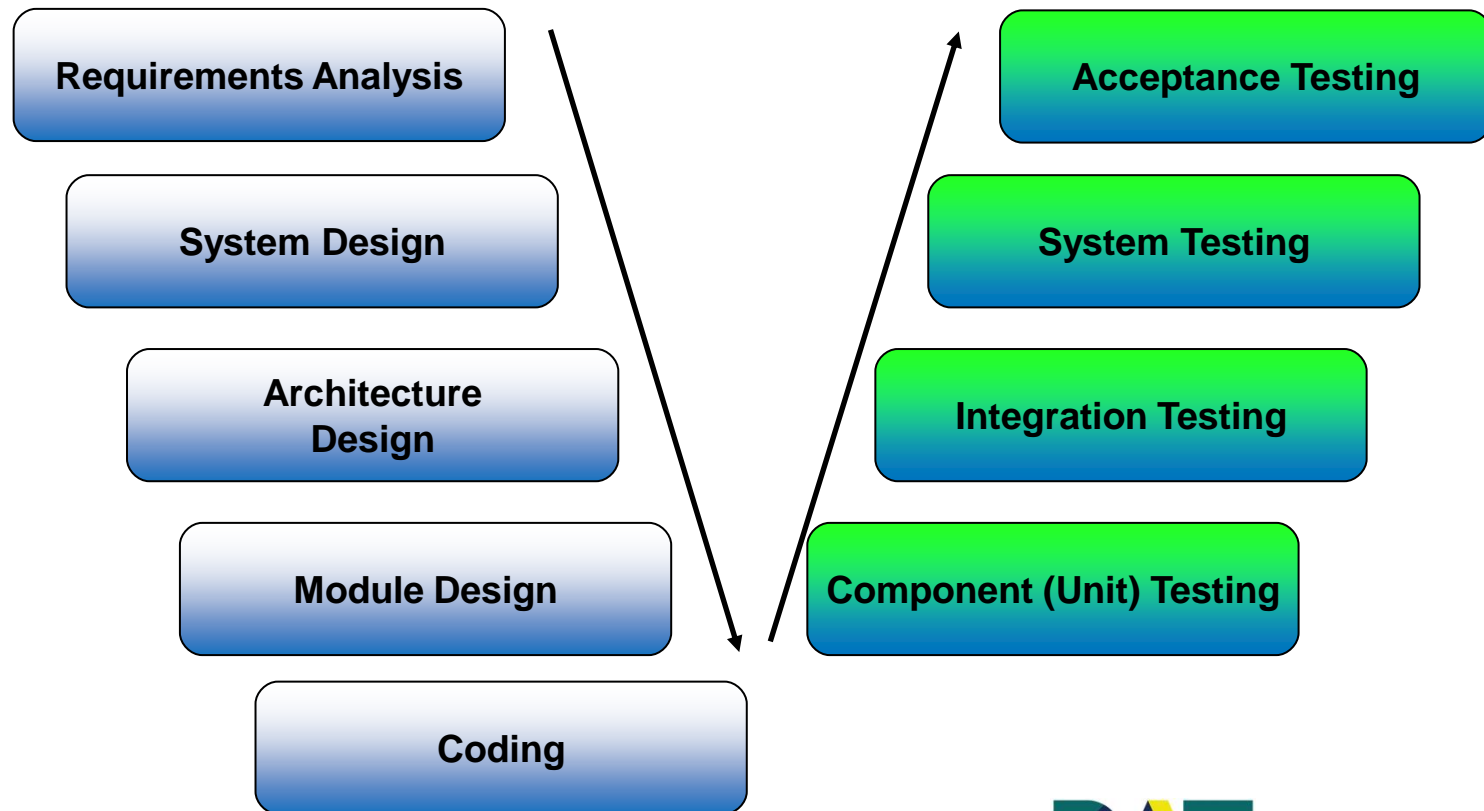
- Sequential development models
  - **V-model**: A sequential development lifecycle model describing a one-for-one relationship between major phases of software development from business requirements specification to delivery, and corresponding **test levels** from acceptance testing to component testing.





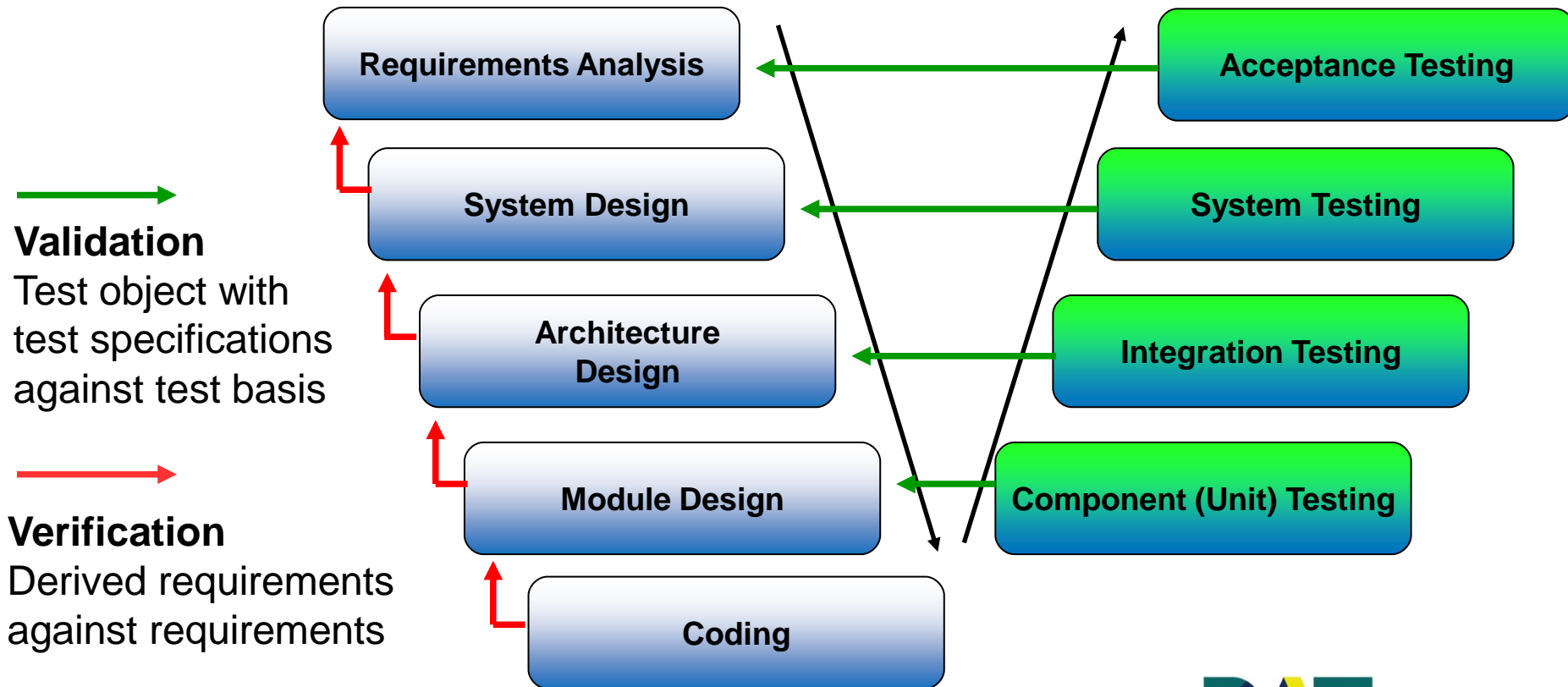
# Software Development and Software Testing

- Sequential development models
  - V-model



# Software Development and Software Testing

- Sequential development models
  - V-model



# Software Development and Software Testing

- Iterative and incremental development models
  - Iterative development:
    - Groups of features are specified, designed, built, and tested together in a series of cycles
    - Cycles often have a fixed duration.
    - Instead of features, changes to features developed in earlier iterations or changes in project scope could be considered in a cycle
    - Each iteration delivers working software which is a growing subset of the overall set of features

Big plus: usable software in weeks or even days.

# Software Development and Software Testing

- Iterative and incremental development models
  - Incremental development:
    - Establishing requirements, designing, building, and testing a system in pieces
    - Software's features grow incrementally.
    - The feature increments could also be changes like
      - ❖ a single change to a user interface screen
      - ❖ a new query option.

# Software Development and Software Testing

Excurses

- Iterative and incremental development models
  - **Agile software development:** A group of software development methodologies based on iterative incremental development, where requirements and solutions evolve through collaboration between self-organizing cross-functional teams.
  - **Agile manifesto**

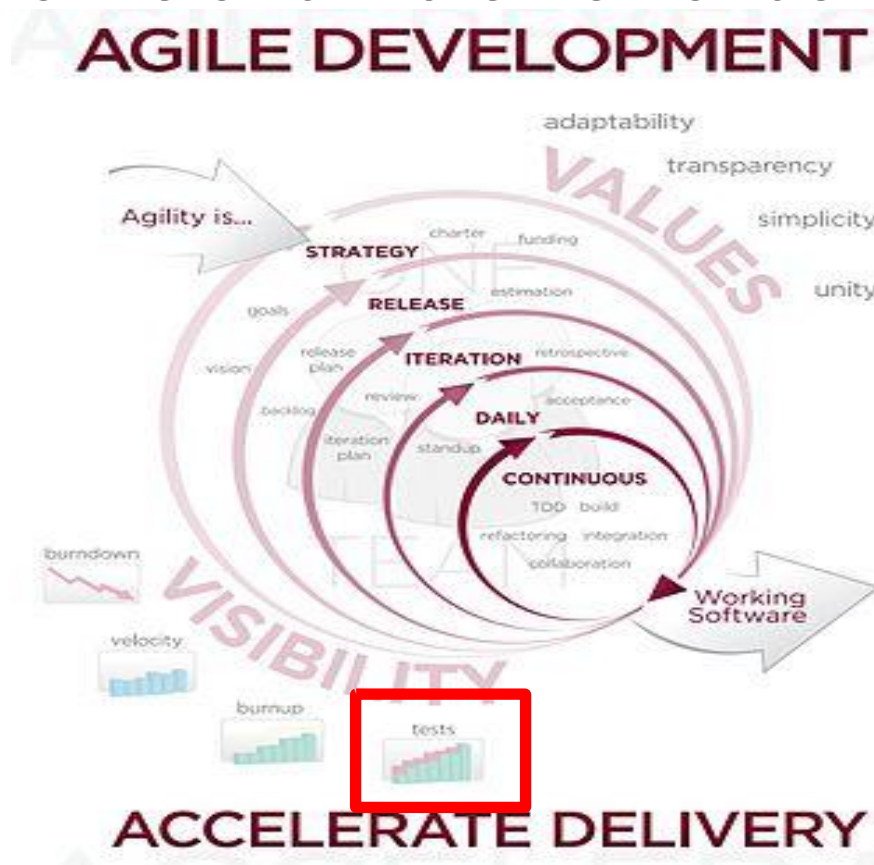
Individuals and interactions over processes and tools  
Working software over comprehensive documentation  
Customer collaboration over contract negotiation  
Responding to change over following a plan

Source: <https://agilemanifesto.org/>

# Software Development and Software Testing

Excurses

- Iterative and incremental development models



# Software Development and Software Testing

- Iterative and incremental development models
  - Rational Unified Process (RUP)

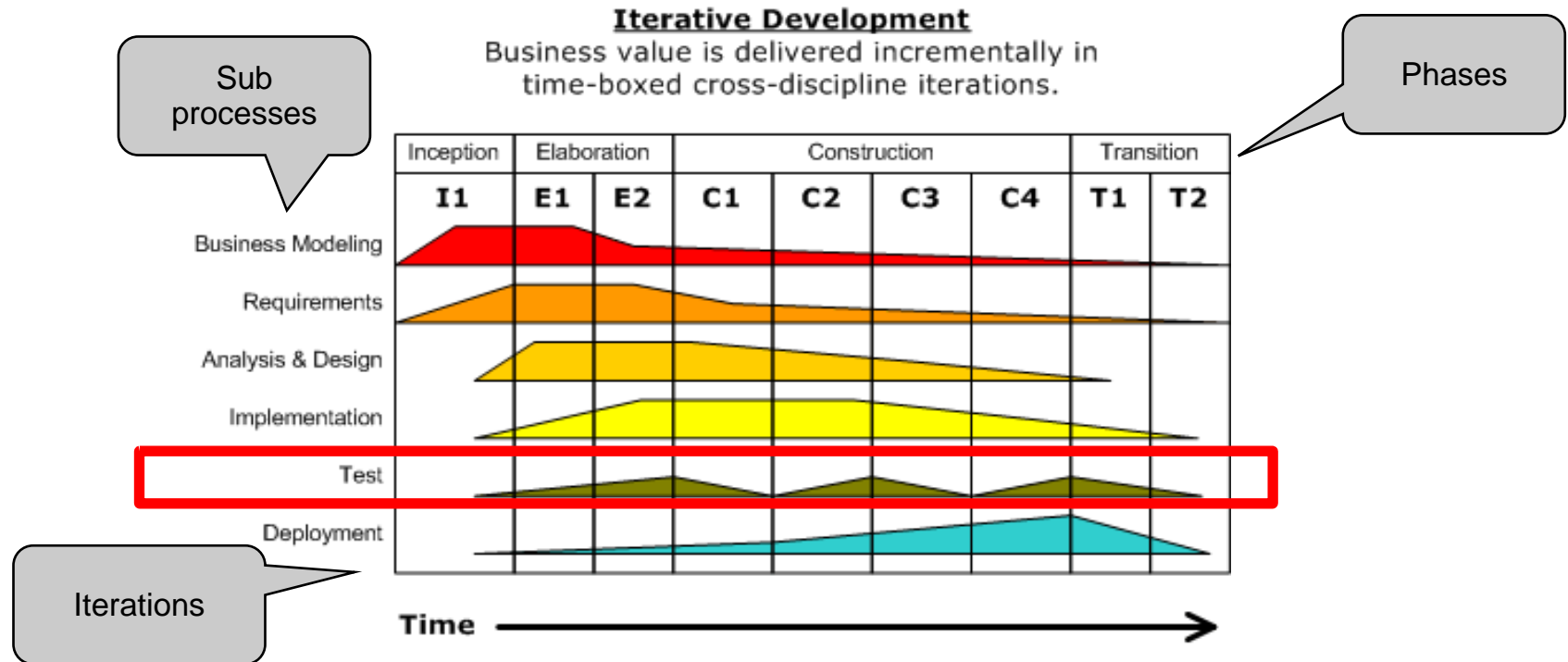


Image source: <https://upload.wikimedia.org/wikipedia/commons/1/19/Development-iterative.png>

# Software Development and Software Testing

- Iterative and incremental development models
  - Scrum

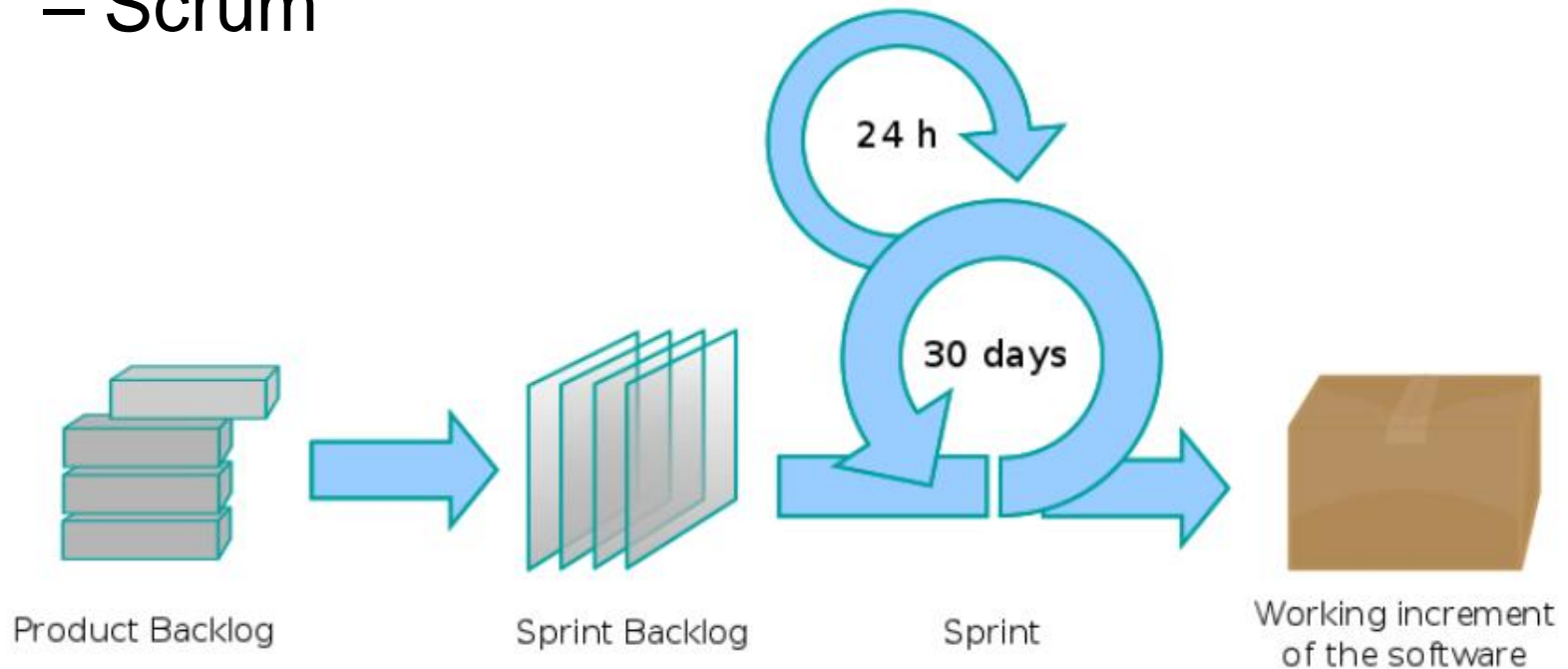


Image source: [https://en.wikipedia.org/wiki/File:Scrum\\_process.svg](https://en.wikipedia.org/wiki/File:Scrum_process.svg)



# Software Development and Software Testing

- Iterative and incremental development models
  - Kanban

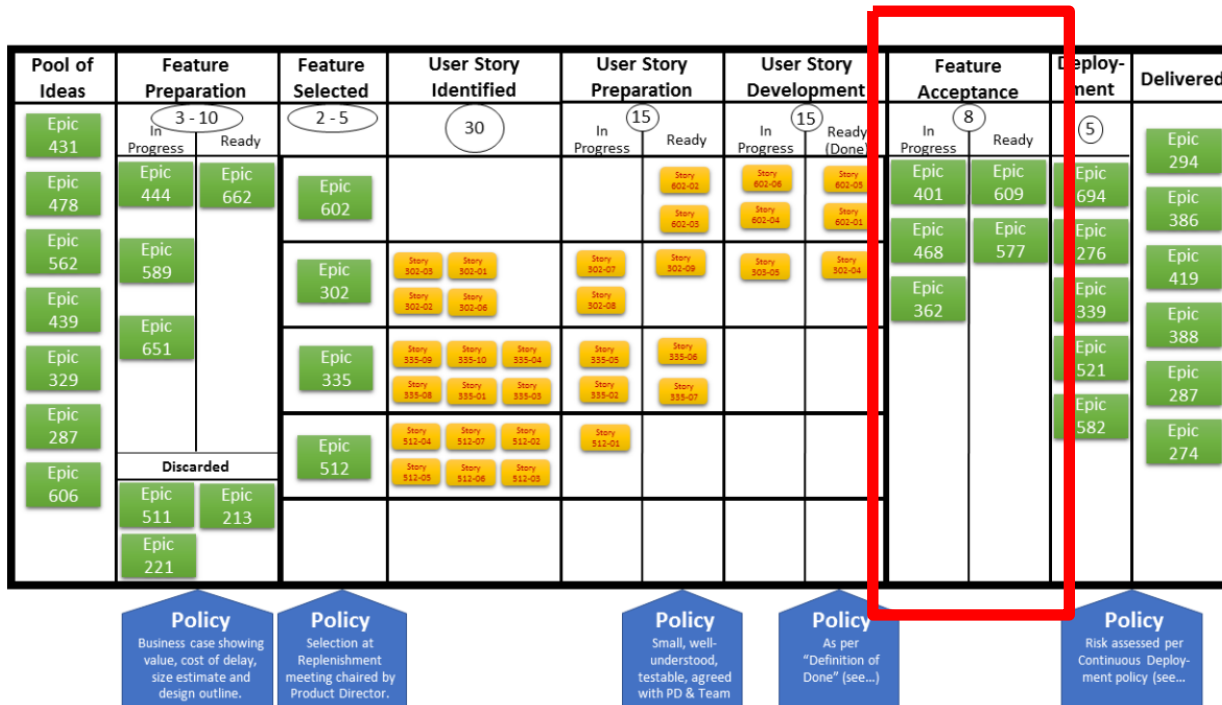


Image source: [https://commons.wikimedia.org/wiki/File:Sample\\_Kanban\\_Board.png](https://commons.wikimedia.org/wiki/File:Sample_Kanban_Board.png)

- Iterative and incremental development models
  - Spiral



# Software Development and Software Testing

- Iterative and incremental development models
  - Often overlapping and iterating test levels throughout development
  - Each feature to be tested at several test levels as it moves towards delivery
  - Continuous delivery
    - Iteration by iteration or major/minor releases
    - Typically multiple automated tests required
    - Importance of regression test increases as a system is growing
  - Test organization flexible within self-organizing teams

# Software Development and Software Testing

Excurses

- Iterative and incremental development models
    - Agile testing involves testing from the customer point of view as early as possible – depending on availability and stability of code.
    - Test automation plays a central role.
- Typical test execution proceeding after delivery:
- (Automated) smoke test/sanity check
  - Execution of automated regression test suite
  - Execution of manual tests concerning new implemented user stories/retest of bug fixes
  - Extending automated test suite

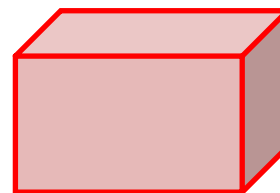
# Software Development Lifecycle Models in Context

- Selecting and adapting a software development lifecycle model should consider the context of project and product characteristics
  - project goal,
  - type of product being developed,
  - business priorities (e.g., time-to-market),
  - identified product and project risks,
  - possible organizational and cultural issues

*Same development and testing?*



*Internal administrative system*



*Safety critical system*



# Software Development Lifecycle

## Models in Context

### Commercial off-the-shelf (COTS)

**(Synonym: off-the-shelf software):**

A type of product developed in an identical format for a large number of customers in the general market.

- Example: Test organization for a COTS software product into a larger system.
  - Purchaser performs interoperability testing at the **system integration test level** (integration to customer system infrastructure) 
  - Purchaser supports at the acceptance test level (functional and non-functional, user acceptance and operational acceptance) 

# Software Development Lifecycle

## Models in Context

- Software development models to be adapted to the context of project and product characteristics; possible reasons:
  - Difference in product risks of systems (complex or simple project)
  - Many business units are part of a project or program (combination of sequential and agile development)
  - Short time to deliver a product to the market (merge of test levels and/or integration of test types in test levels)
- Internet of Things (IoT) systems
  - consist of different objects like devices, products, and services,
  - apply separate software development lifecycle models for each object.

# Summary



- Software development lifecycle models
  - Sequential development models  
Complete system is developed, all phases with no overlap between them  
Examples: Waterfall model, V-model
  - Iterative and incremental development models  
Growing system in fixed cycles, after each iteration there is working software  
Examples: RUP, Scrum, Kanban, Spiral
- Good ideas for testing:
  - Development activity ↔ Test activity
  - Each test level has test objectives



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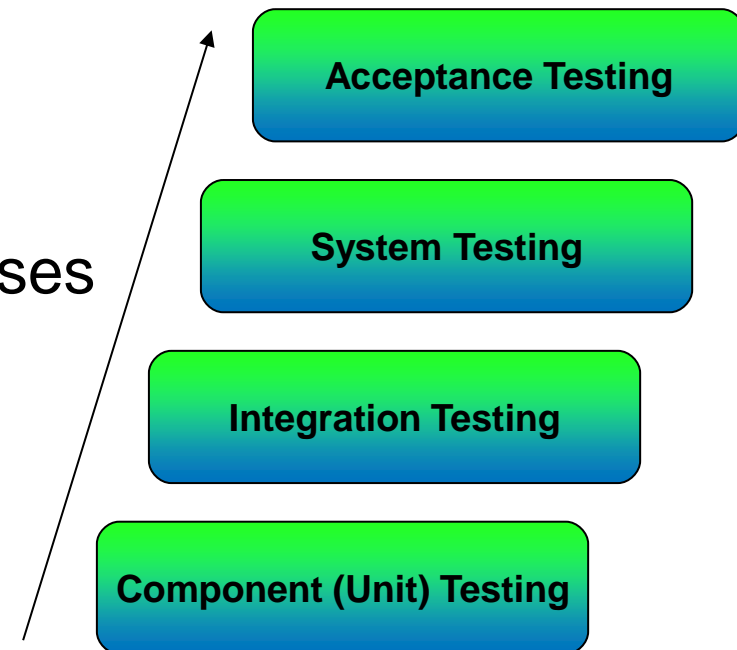
# Test Levels

- **Test levels:**

A specific instantiation of a test process.

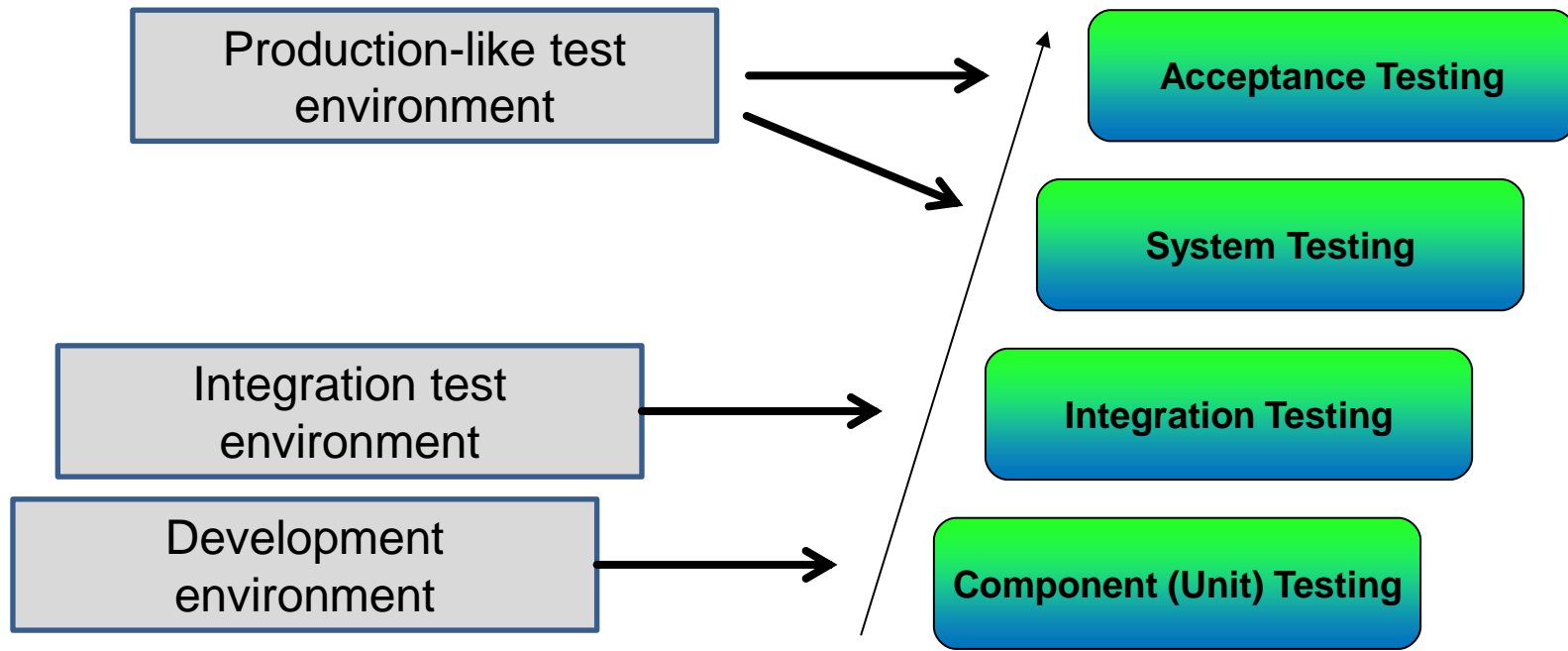
– are characterized by the following attributes:

- Specific objectives
- Test basis, referenced to derive test cases
- Test object
- Typical defects and failures
- Specific approaches and responsibilities



# Test Levels

- For every Test level a fitting test environment is required, e.g.



# Component Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

## Component testing

(**Synonyms:** *module testing, unit testing*): A test level that focuses on individual hardware or software components.

- Objectives
  - Verifying if the component works as designed and specified
    - Functionality (e.g., correctness of calculations),
    - Non-functional characteristics (e.g., searching for memory leaks), and
    - Structural properties (e.g., decision testing).
  - Finding defects in the component
  - Preventing defects from escaping to higher test levels
  - Reducing risk
  - Building confidence in the component's quality

# Component Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- Objectives
  - In agile projects:
    - Automated component regression tests play a key role
    - Ensure changes have not broken existing components
  - Required, depending on project:
    - mock objects,
    - **service virtualization**:  
A technique to enable virtual delivery of services which are deployed, accessed and managed remotely.
    - harnesses,
    - stubs, and
    - drivers.

# Component Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- Test basis
  - Detailed design
  - Code
  - Data model
  - Component specifications
- Test objects
  - Components, units or modules
  - Code and data structures
  - Classes
  - Database modules

# Component Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- Typical defects and failures
  - Incorrect functionality (e.g., not as described in design specifications)
  - Data flow problems
  - Incorrect code and logic
- Specific approaches and responsibilities
  - Tests executed by
    - developer who wrote the code,
    - other developers in the project.

Often with no formal defect management

# Component Testing

Acceptance Testing

System Testing

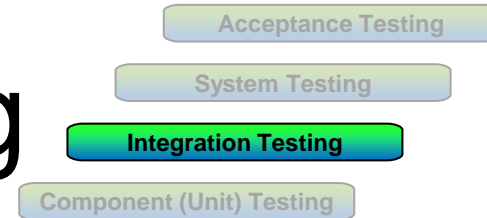
Integration Testing

Component (Unit) Testing

- Test driven development
  - Prepare and automate test cases before coding
  - Based on very short development cycles
  - Proceeding
    - First write an (initially failing) automated test case that defines a desired improvement or new function.
    - Second produce the minimum amount of code to pass that test.
    - Finally refactor the new code to acceptable standards.
- Related:
  - Acceptance test–driven development (ATDD)
  - Behavior-driven development (BDD)

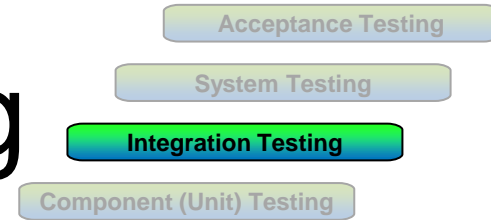


# Integration Testing

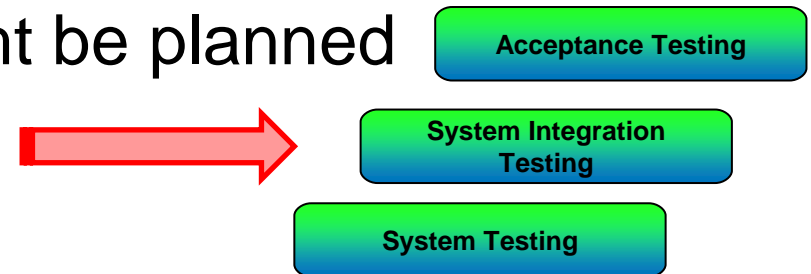


- **Integration**: The process of combining components or systems into larger assemblies.
- **Integration testing**: A test level that focuses on interactions between components or systems.
  - **Component integration testing**  
(**Synonym**: *link testing*):  
Testing in which the test items are interfaces and interactions between integrated components.
  - **System integration testing**:  
A test level that focuses on interactions between systems.
- Integration and Integration test have different objectives
- An integration strategy should consider efficient testing

# Integration Testing

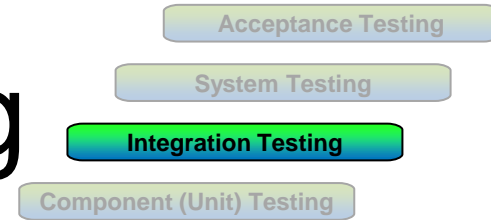


- System integration testing:
  - Additional test level might be planned



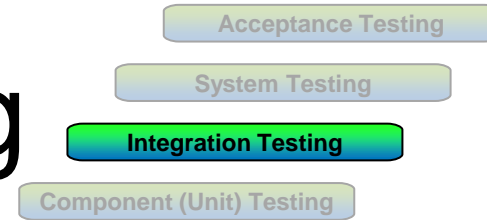
- Challenging: test of external interfaces
  - How to ensure that test-blocking defects in the external organization's code are resolved?
  - Arranging for test environments
  - Support for negative test, e.g., external system is not working, to be simulated

# Integration Testing



- Objectives
  - Verifying whether the functional and non-functional behaviors of the interfaces are as designed and specified
  - Finding defects (which may be in the interfaces themselves or within the components or systems)
  - Preventing defects from escaping to higher test levels
  - Building confidence in the quality of the interfaces
  - Reducing risk

# Integration Testing



- Objectives
  - In case: Supporting **continuous integration**:  
A software development procedure merging, integrating and testing all changes as soon as they are committed within an automated process.
  - Automated integration regression tests as part of continuous integration to ensure that existing interfaces, components, or systems are not broken

# Integration Testing

Acceptance Testing

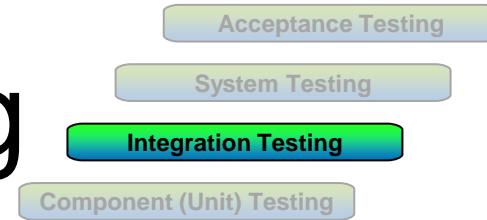
System Testing

Integration Testing

Component (Unit) Testing

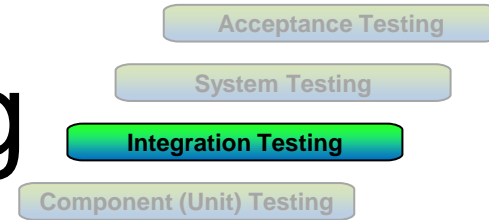
- Test basis
  - Use cases
  - Workflows
  - Architecture at component or system level
  - Software and system design
  - Interface and communication protocol specifications
  - External interface definitions
  - Sequence diagrams
- Test objects
  - Subsystems
  - Databases
  - Infrastructure
  - Interfaces
  - APIs
  - Microservices

# Integration Testing



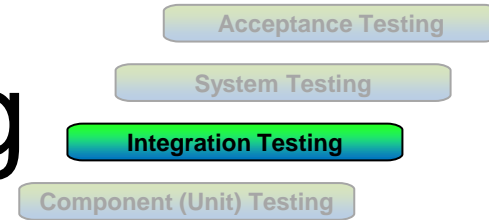
- Typical defects and failures
  - for component integration testing
    - Incorrect data, missing data, or incorrect data encoding
    - Incorrect sequencing or timing of interface calls
    - Interface mismatch
    - Failures in communication between components
    - Unhandled or improperly handled communication failures between components
    - Incorrect assumptions about the meaning, units, or boundaries of the data being passed between components

# Integration Testing



- Typical defects and failures
  - for system integration testing
    - Inconsistent message structures between systems
    - Incorrect data, missing data, or incorrect data encoding
    - Interface mismatch
    - Failures in communication between systems
    - Unhandled or improperly handled communication failures between systems
    - Incorrect assumptions about the meaning, units, or boundaries of the data being passed between systems
    - Failure to comply with mandatory security regulations

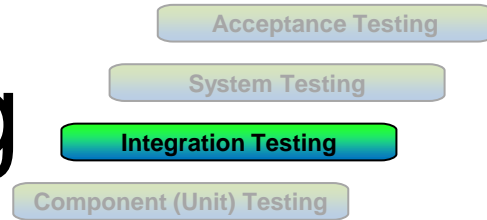
# Integration Testing



- Proceeding
  - Testing is depending from integration strategy
    - Incremental  
A small number of additional components or systems at a time
    - “big bang”  
Integrating all components or systems in one single step
  - Following the incremental approach, a solution is required for components/systems not in place yet



# Integration Testing

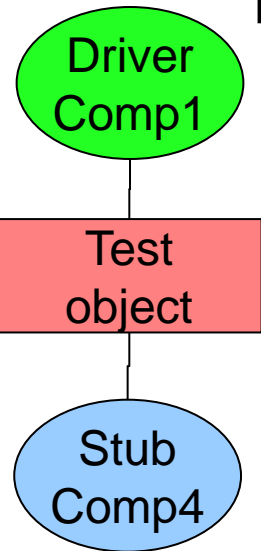


- Proceeding

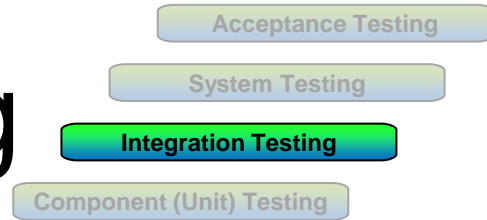
- Required: **Test harness**:

A test environment comprised of stubs and drivers needed to execute a test suite.

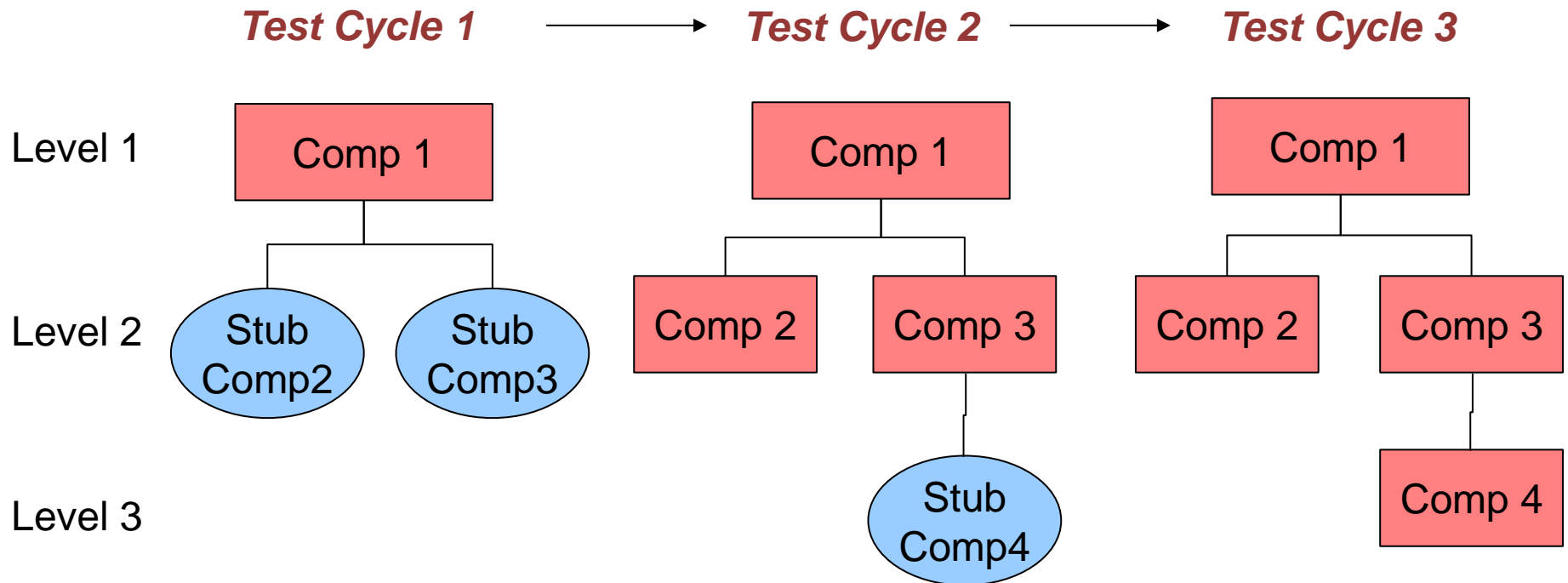
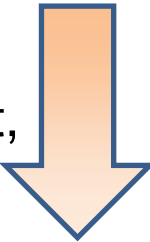
- **Driver** (**Synonym:** *test driver*): A temporary component or tool that replaces another component and controls or calls a test item in isolation.
- **Stub**: A skeletal or special-purpose implementation of a software component, used to develop or test a component that calls or is otherwise dependent on it. It replaces a called component.



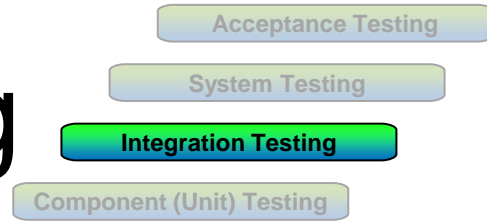
# Integration Testing



- Integration strategy „Top-down“  
The component at the top of the component hierarchy is tested first, lower level components are simulated by stubs.

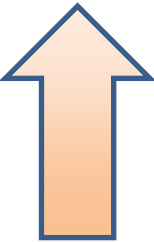


# Integration Testing

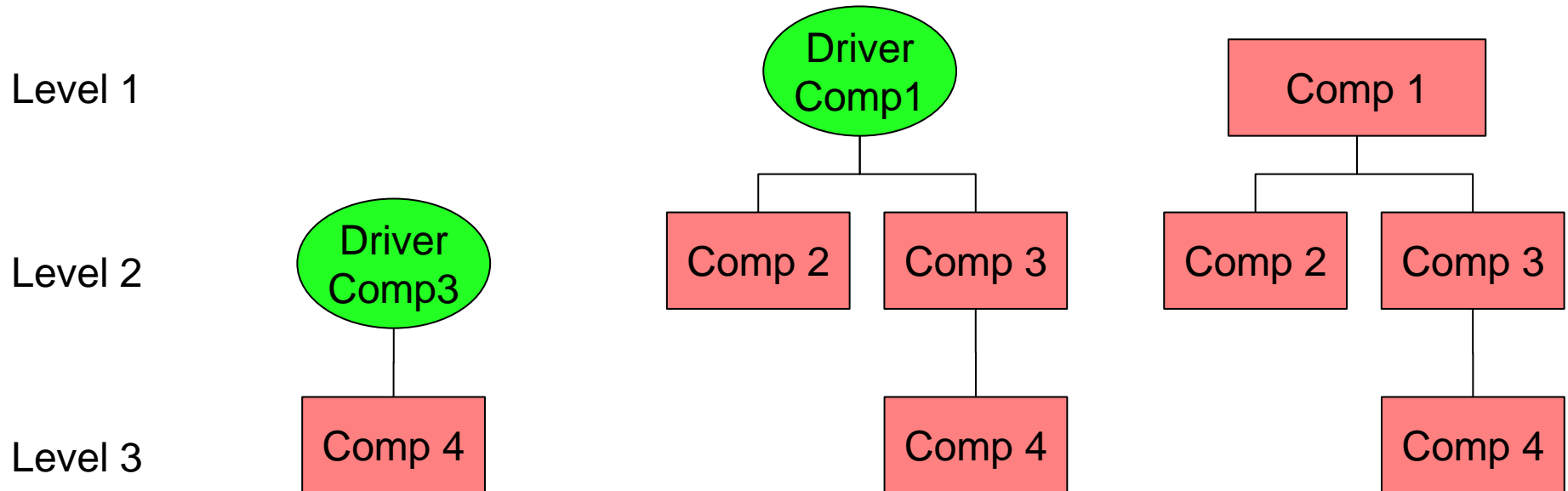


- Integration strategy “Bottom-up”

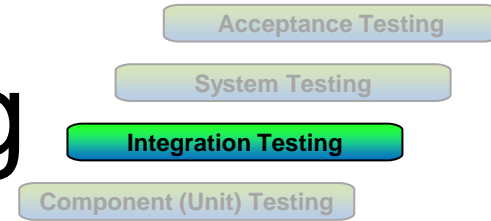
The lowest level components are tested first, and then used to facilitate the testing of higher level components.



**Test Cycle 1** → **Test Cycle 2** → **Test Cycle 3**

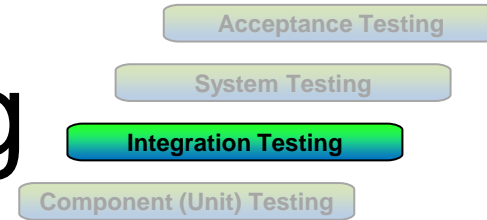


# Integration Testing



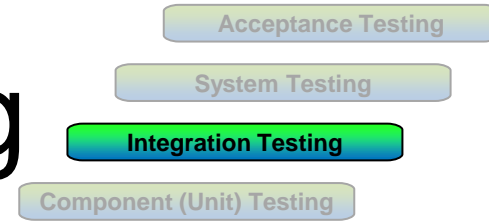
- Integration strategy “Hardest first”
  - The most critical components are developed and tested first
  - Drivers and stubs are required, means effort
  - Feasibility is checked at an early stage reducing the risk that the project fails

# Integration Testing



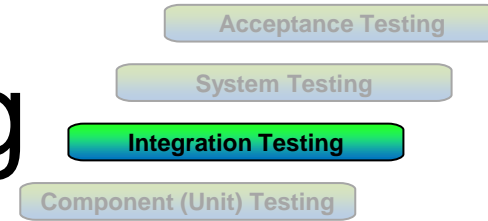
- Integration strategy
  - “Function oriented”/”Transaction oriented”
    - Integrate the components that realize a common functionality/transaction
    - Drivers and stubs are required, means effort
    - User/Data oriented approach
      - reducing the risk that the project fails

# Integration Testing



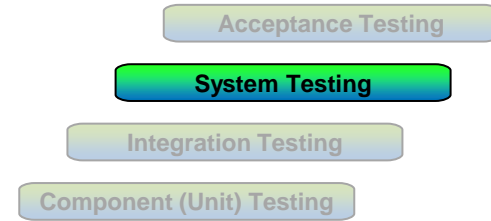
- Integration strategy “Ad hoc”
  - Integrate the components that are available
  - Drivers and stubs are required, means effort
  - Integration and integration testing could start early

# Integration Testing



- Integration strategy “Big bang”
  - Integrate the components all at once
  - No drivers and stubs are required
  - Integration and integration testing could start only at a later stage
  - Localization of defects very difficult
  - Risky strategy

# System Testing



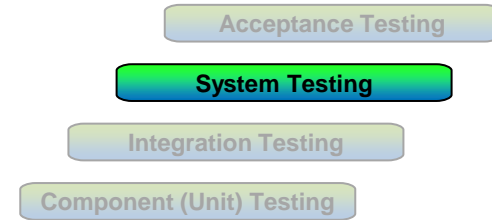
## **System testing:**

A test level that focuses on verifying that a system as a whole meets specified requirements.

- Objectives
  - Validating that the system is complete and will work as expected
    - Consider end-to-end tasks
  - Verifying whether the functional and non-functional behaviors of the system are as designed and specified
  - Finding defects
  - Preventing defects from escaping to higher test levels or production
  - Building confidence in the quality of the system as a whole
  - Reducing risk

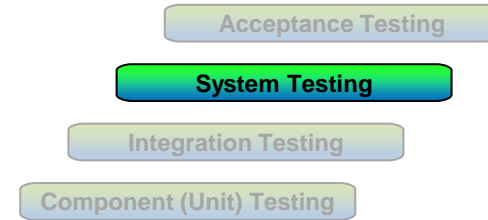


# System Testing



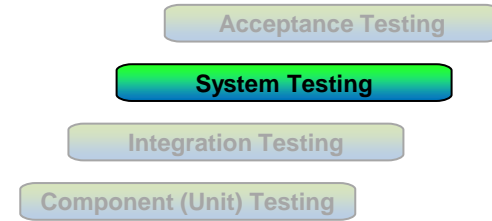
- Objectives – depending on context
  - verifying data quality
  - automated system regression tests to ensure that changes have not broken existing features or end-to-end capabilities.
- Additional hints
  - System testing
    - often produces information that is used by stakeholders to make release decisions.
    - may also satisfy legal or regulatory requirements or standards.
  - The test environment should ideally correspond to the final target or production environment

# System Testing



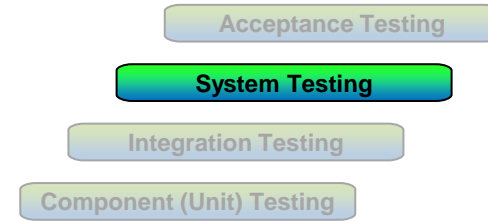
- Test basis
  - System and software requirement specifications (functional and non-functional)
  - Risk analysis reports
  - Use cases
  - Epics and user stories
  - Models of system behavior
  - State diagrams
  - System and user manuals
- Test objects
  - Applications
  - Hardware/software systems
  - Operating systems
  - **System under test (SUT)**:  
A type of test object that is a system.
  - System configuration and configuration data

# System Testing



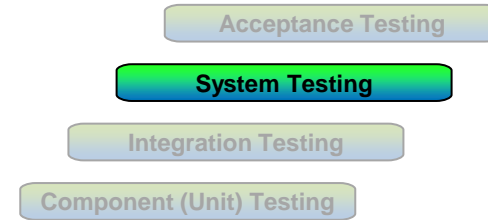
- Typical defects and failures
  - Incorrect calculations
  - Incorrect or unexpected system functional or non-functional behavior
  - Incorrect control and/or data flows within the system
  - Failure to properly and completely carry out end-to-end functional tasks
  - Failure of the system to work
    - properly in the system environment(s)
    - as described in system and user manuals

# System Testing



- Specific approaches and responsibilities
  - focus on the overall, end-to-end behavior of the system as a whole, both functional and non-functional.
  - typically carried out by independent testers who rely heavily on specifications

# System Testing



- Specific approaches and responsibilities
  - Risk: Communication issues
    - Defects in specifications like
      - ❖ missing user stories,
      - ❖ incorrectly stated business requirements.
    - Impact:
      - ❖ Lack of understanding of system behavior
      - ❖ Disagreements
      - ❖ False positives
      - ❖ False negatives
    - Mitigation: Early involvement of testers in user story refinement/review

# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

**Acceptance testing**: A test level that focuses on determining whether to accept the system.

- Objectives:
  - Establishing confidence in the quality of the system as a whole
  - Validating that the system is complete and will work as expected
  - Verifying that functional and non-functional behaviors of the system are as specified
- Finding defects is often not an objective
- Finding a significant number of defects to be considered a major project risk.
- Different forms of acceptance testing are known

# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- **User acceptance testing:**

A type of acceptance testing performed to determine if intended users accept the system.

- Objective: building confidence that the users can use the system to meet their needs, fulfill requirements, and perform business processes with minimum difficulty, cost, and risk.
- Real or simulated operational environment.
- Done by business users (Customers, system users)

# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- **Operational acceptance testing**

(**Synonym:** *production acceptance testing*):

A type of acceptance testing performed to determine if operations and/or systems administration staff can accept a system.

- Objective: confidence that the operators or system administrators can keep the system working properly for the users in the operational environment, even under exceptional or difficult conditions.
- Testing in a (simulated) production environment by
  - operations,
  - systems administration staff.



# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- Operational acceptance testing
  - Scope:
    - Testing of backup and restore
    - Installing, uninstalling and upgrading
    - Disaster recovery
    - User management
    - Maintenance tasks
    - Data load and migration tasks
    - Periodic checks for security vulnerabilities
    - Performance testing

# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- **Contractual acceptance testing:**

A type of acceptance testing performed to verify whether a system satisfies its contractual requirements.

- Objective: compliance has been achieved
- Basics: Acceptance criteria as defined in the contract for custom-developed software
- Performed by users or independent testers

# Acceptance Testing

- **Regulatory acceptance testing:**

A type of acceptance testing performed to verify whether a system conforms to relevant laws, policies and regulations.

- Objective: compliance has been achieved
- Basics: any regulations that must be adhered to, like
  - government regulations,
  - legal regulations,
  - safety regulations.
- performed by users or by independent testers, sometimes audited by regulatory agencies.

# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- Alpha and beta testing
  - **Alpha testing**: A type of acceptance testing performed **in the developer's test environment** by roles outside the development organization.
  - **Beta testing**: A type of acceptance testing performed **at an external site** to the developer's test environment by roles outside the development organization.
  - typically used by developers of commercial off-the-shelf (COTS) software to get feedback from potential or existing
    - users,
    - customers, and/or
    - operators.

# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- Alpha and beta testing
  - Objectives
    - Confidence that the system could be used under normal, everyday conditions
    - Detection of defects related to the conditions and environment(s) in which the system will be used, especially when those conditions and environment(s) are difficult to replicate by the development team.

# Acceptance Testing

- Test basis
  - Business processes
  - User or business requirements
  - Regulations, legal contracts and standards
  - Use cases and/or user stories
  - System requirements
  - System or user documentation
  - Installation procedures
  - Risk analysis reports
- Test basis for operational acceptance testing
  - Backup and restore procedures
  - Disaster recovery procedures
  - Non-functional requirements
  - Operations documentation
  - Deployment and installation instructions
  - Performance targets
  - Database packages
  - Security standards or regulations

# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- Test objects
  - System under test
  - System configuration and configuration data
  - Business processes for a fully integrated system
  - Recovery systems and hot sites (for business continuity and disaster recovery testing)
  - Operational and maintenance processes
  - Forms
  - Reports
  - Existing and converted production data

# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- Typical defects and failures
  - System workflows do not meet business or user requirements
  - Business rules are not implemented correctly
  - System does not satisfy contractual or regulatory requirements
  - Non-functional failures such as
    - security vulnerabilities,
    - inadequate performance efficiency under high loads,
    - improper operation on a supported platform.



# Acceptance Testing

Acceptance Testing

System Testing

Integration Testing

Component (Unit) Testing

- Specific approaches and responsibilities
  - Acceptance testing is often the responsibility of
    - customers,
    - business users,
    - product owners,
    - operators of a system,
    - other stakeholders.
  - often last test level; possible exceptions:
    - When a COTS software product is installed or integrated
    - A new functional enhancement before system testing
    - At the end of an iteration in agile projects

# Summary



## Test Levels

- Component testing
- Integration testing
  - Component integration testing
  - System integration testing
  - Integration strategies:
    - Top-down
    - Bottom-up
    - Hardest first
    - “Function oriented”/“Transaction oriented”
    - Ad hoc
    - Big bang
- System testing
- Acceptance testing
  - User acceptance testing
  - Operational acceptance testing
  - Contractual acceptance testing
  - Regulatory acceptance testing
  - Alpha and beta testing.

# Contents

2.1 Software Development Lifecycle Models

2.2 Test Levels

2.3 Test Types

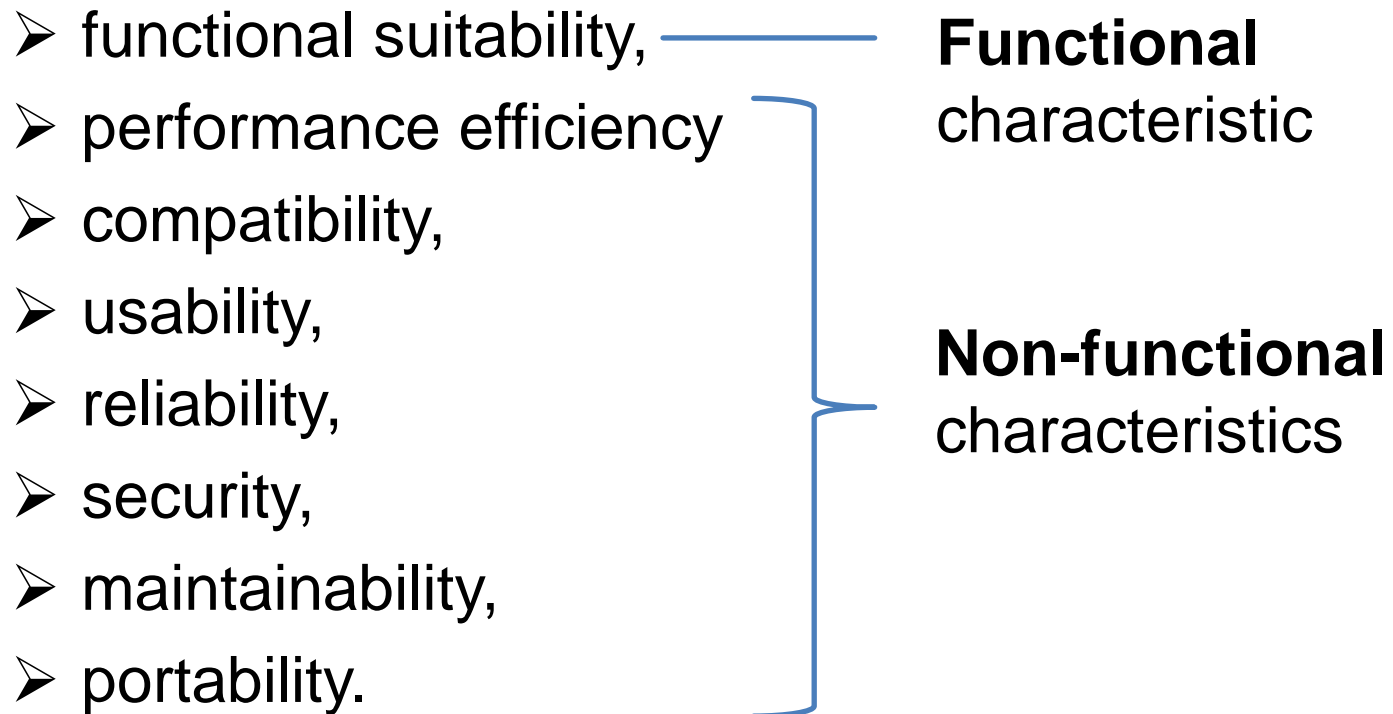
2.4 Maintenance Testing

# Test types

- **Test type:**  
A group of test activities based on specific test objectives aimed at specific characteristics of a component or system.
  - Evaluating **functional** quality characteristics, such as completeness, correctness, and appropriateness
  - Evaluating **non-functional** quality characteristics, such as reliability, performance efficiency, security, compatibility, and usability
  - Evaluating whether the **structure** or architecture of the component or system is correct, complete, and as specified
  - Evaluating the **effects of changes**, such as confirming that defects have been fixed (confirmation testing) and looking for unintended changes in behavior resulting from software or environment changes (regression testing)
- To measure testing specific characteristics of a software system, coverage is used.

# Test types

- 8 product quality characteristics as defined by ISO 25010 to evaluate a system and software



Source: [https://en.wikipedia.org/wiki/ISO/IEC\\_9126](https://en.wikipedia.org/wiki/ISO/IEC_9126)

# Functional testing

- **Functional testing:**

Testing performed to evaluate if a component or system satisfies functional requirements.

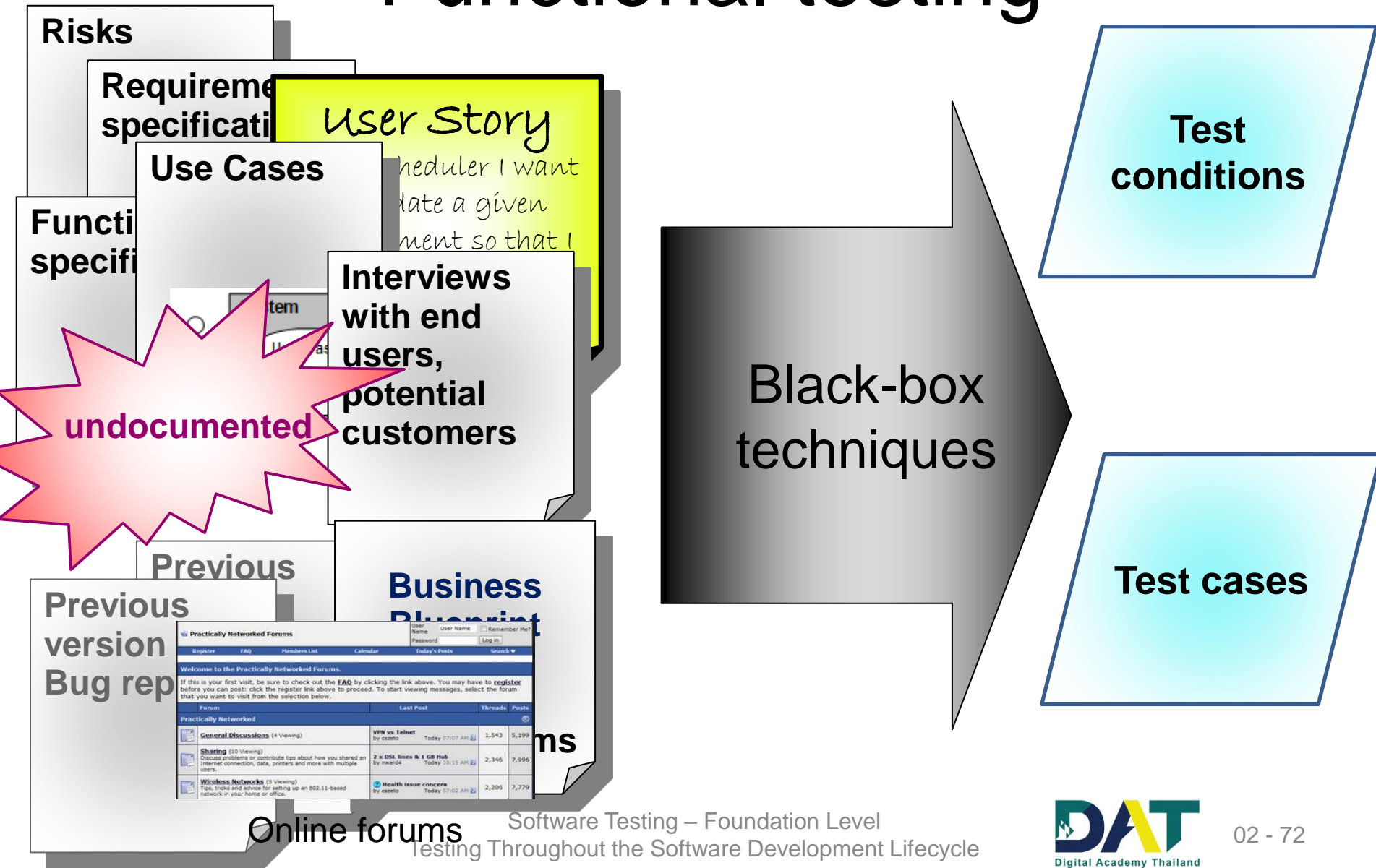
– is the testing of

**“what” the system should do.**

# Functional testing



- Basics: Functional requirements, such as
  - Business requirements specifications,
  - functional specifications,
  - use cases,
  - epics: A large user story that cannot be delivered as defined within a single iteration or is large enough that it can be split into smaller user stories.
  - User stories: A user or business requirement consisting of one sentence expressed in the everyday or business language which is capturing the functionality a user needs, the reason behind it, any non-functional criteria, and also including acceptance criteria.
- Functional requirements could be undocumented (implicit requirements)

# Functional testing





# Functional testing

- To be performed at all **test levels** – with different focus, e.g., tests for components may be based on a component specification 
- **Black-box techniques** are used to derive
  - test conditions and
  - test cases
- Functional coverage
  - to measure which functionality has been exercised by tests
  - expressed as a percentage of the type(s) of element being covered
- Expert knowledge
  - Special skills or knowledge of the business area
  - Understanding of different roles

# Non-functional testing

- **Non-functional testing:**

Testing performed to evaluate that a component or system complies with non-functional requirements.

– is the testing of

**“how well” the system behaves.**

reliability

performance  
efficiency

compatibility

security

usability

maintainability

portability

# Non-functional testing

- To be performed at all test levels as early as possible
- **Black-box techniques** are used to derive
  - test conditions and
  - test cases.

4.2 →

Example: boundary value analysis to define the stress conditions for performance tests.

- Non-Functional coverage
  - to measure the thoroughness of non-functional testing
  - is the extent to which some type of non-functional element has been exercised by tests
  - expressed as a percentage of the type(s) of element being covered

# Non-functional testing

- Expert knowledge required, e.g., knowledge of
  - inherent weaknesses of a design or technology

Example:

- Security vulnerabilities associated with particular programming languages
- particular user base

Example:

- User profiles of healthcare facility management systems

# White-box Testing

- **White-box testing** (**Synonyms:** *clear-box testing, code-based testing, glass-box testing, logic-coverage testing, logic-driven testing, structural testing, structure-based testing*): Testing based on an analysis of the internal structure of the component or system.
- System's internal structure or implementation may include
  - code,
  - architecture,
  - work flows,
  - data flows within the system.



# White-box Testing

- **Structural coverage:**

Coverage measures based on the internal structure of a component or system.

- extent to which some type of structural element has been exercised by tests,
- expressed as a percentage of the type of element being covered.
- At the component testing level we talk about **Code coverage:** The coverage of code.  
... with different aspects like statement coverage or decision coverage

# White-box Testing

- Required skills/knowledge:
  - coding related to used programming language(s),
  - how data is stored (e.g., to evaluate possible database queries),
  - how to use coverage tools and to correctly interpret their results.

# Change-related Testing

**Change-related testing**: A type of testing initiated by modification to a component or system.

- Changes are made to a system
  - to correct a defect ,
  - because of new or changing functionality.
- Change-related testing should confirm that the changes have
  - corrected the defect or
  - implemented the functionality correctly, and
  - not caused any unforeseen negative consequences.



# Change-related Testing

- **Confirmation testing** (*Synonym: re-testing*):  
A type of change-related testing performed after fixing a defect to confirm that a failure caused by that defect does not reoccur.
  - Depending on the context conduction of
    - steps to reproduce the failure(s) caused by the defect,
    - all test cases that failed due to the defect,
    - new tests to cover changes needed to fix the defect.

# Change-related Testing

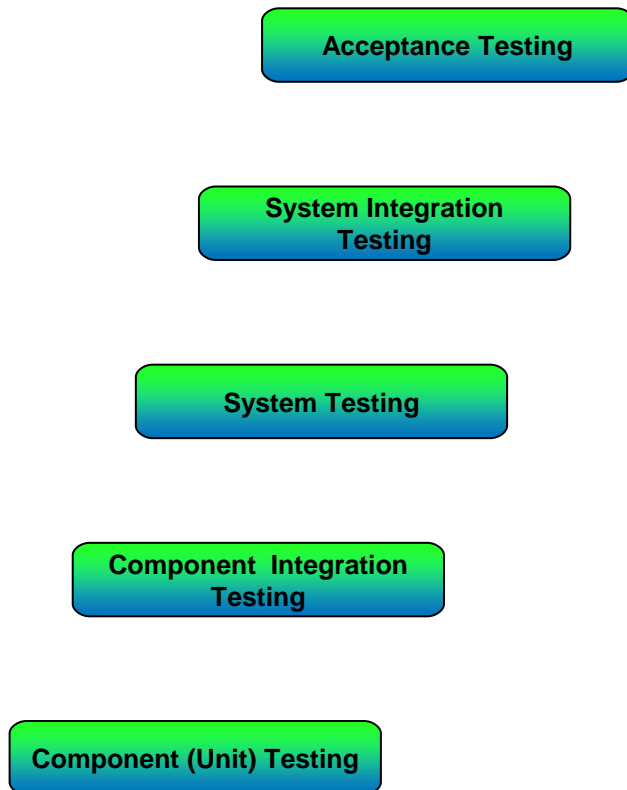
- **Regression testing:**  
A type of change-related testing to detect whether defects have been introduced or uncovered in unchanged areas of the software.
- Possible changes
  - Fix of a defect
  - Introducing new/changed functionality/features; especially in agile software development and IoT
  - Changes to the environment, such as a new version of an operating system or database management system
  - New version of a COTS software product

# Change-related Testing

- Especially for evolving systems very important. A change made in one part of the code, could accidentally affect the behavior
  - of other parts of the code,
  - within the same component,
  - in other components of the same system, or even
  - in other systems.
- Regression test suites
  - run many times and generally evolve slowly,
  - are a strong candidate for automation.
    - => should start as soon as possible in the project

# Test types and test levels

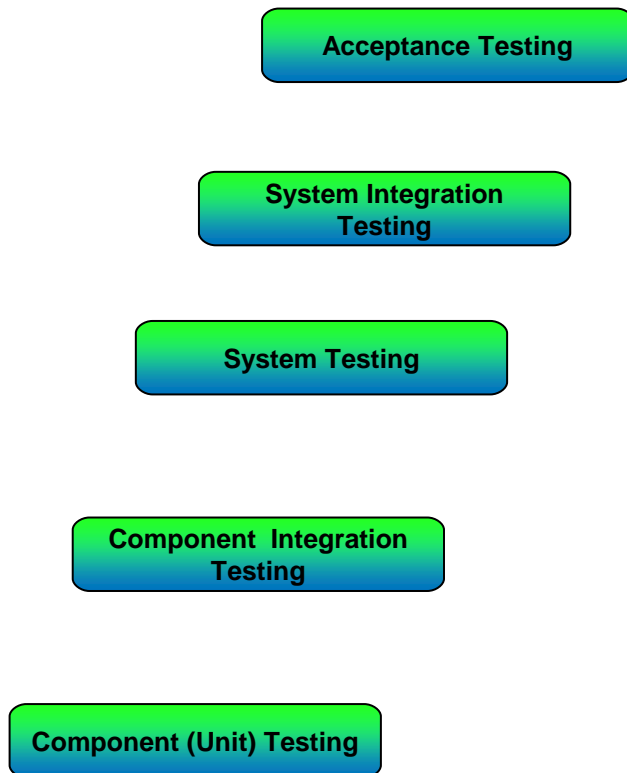
## Example: banking application – functional tests



- Banker approves a credit application
- Banker declines a credit application
- Check an account holder's credit score with an external microservice
- Account holder applies for a line of credit on his checking account
- Account information captured at the user interface is passed to the business logic.
- Calculate compound interest.

# Test types and test levels

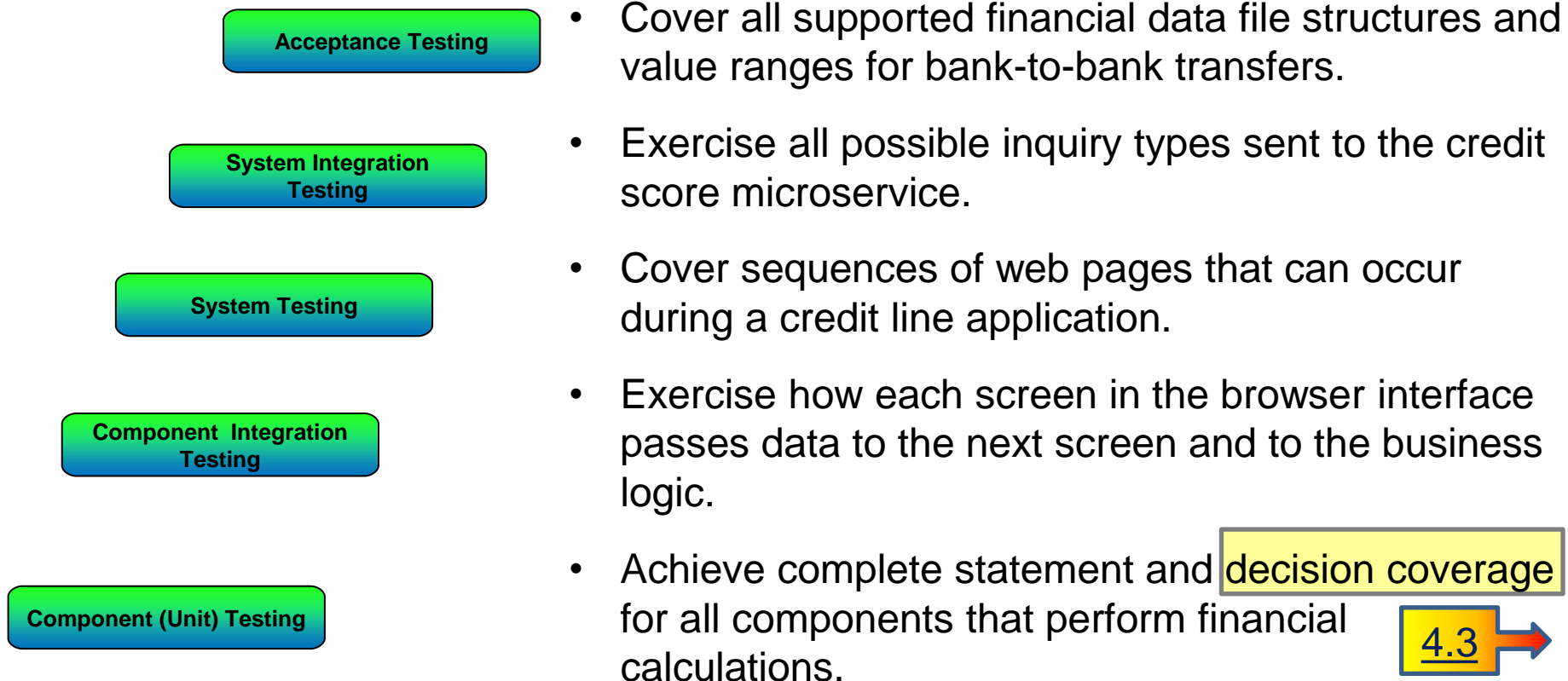
## Example: banking application – non-functional tests



- Usability tests: Evaluate the accessibility of the banker's credit processing interface for people with disabilities.
- Reliability tests: Evaluate system robustness if the credit score microservice fails to respond.
- Portability tests: Check if the presentation layer works on all supported browsers and mobile devices.
- Security tests: Check buffer overflow vulnerabilities due to data passed from the user interface to the business logic.
- Performance tests: Evaluate the number of CPU cycles required to perform a complex total interest calculation..

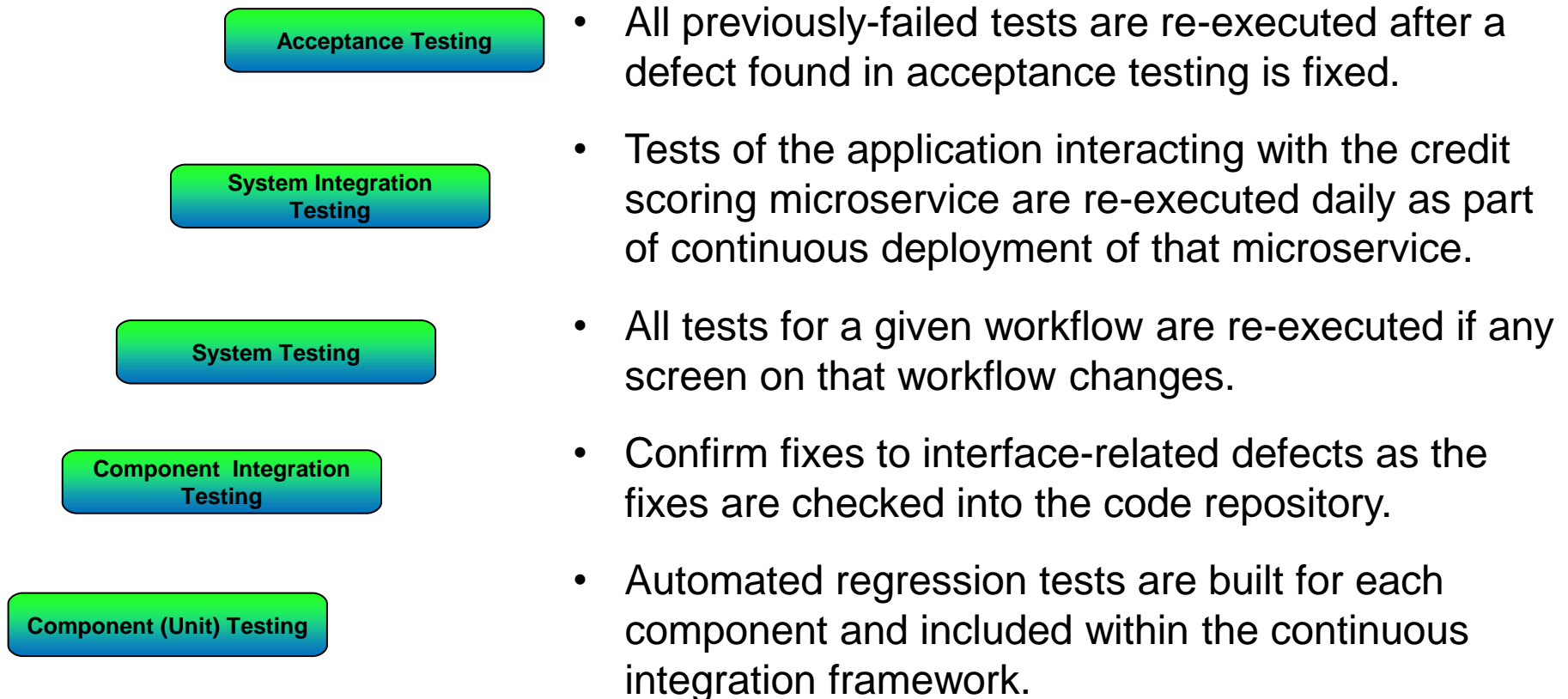
# Test types and test levels

## Example: banking application – white-box tests



# Test types and test levels

## Example: banking application – change-related tests





# Summary

- Test types
  - functional
  - non-functional
  - white-box
  - change-related, differing between
    - confirmation testing
    - regression testing
- All test types could be performed at any test level



# Contents

2.1 Software Development Lifecycle Models

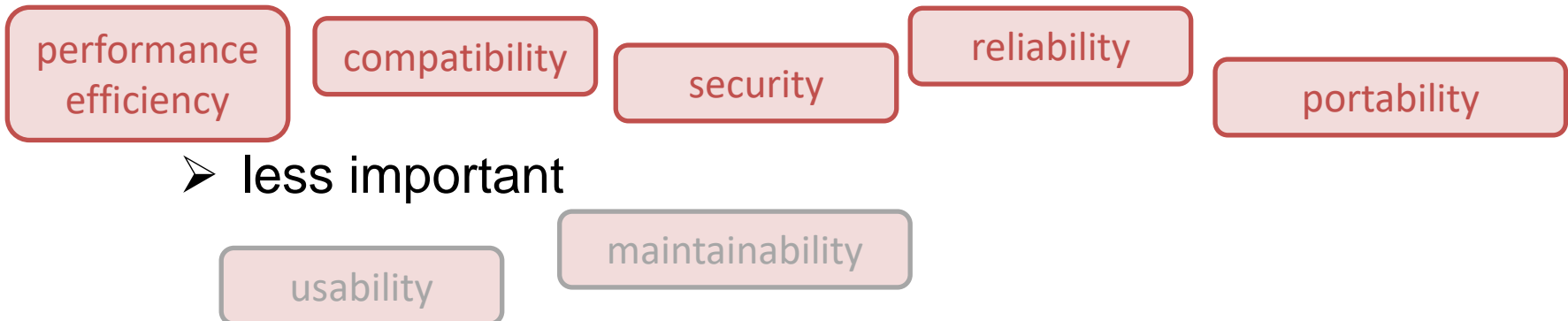
2.2 Test Levels

2.3 Test Types

2.4 Maintenance Testing

# Maintenance Testing

- Once deployed to production environments, software and systems need to be maintained, e.g.,
  - Changes to fix defects
  - New functionality
  - Alter already-delivered functionality
  - Preserve or improve non-functional quality characteristics,
    - especially



# Maintenance Testing

- **Maintenance:**

The process of modifying a component or system after delivery to correct defects, improve quality characteristics, or adapt to a changed environment.

- **Maintenance testing:**

Testing the changes to an operational system or the impact of a changed environment to an operational system.

# Maintenance Testing

- A maintenance release may require maintenance testing
  - at multiple test levels,
  - using various test types.
- The scope of maintenance testing depends on:
  - The degree of risk of the change, for example, the degree to which the changed area of software communicates with other components or systems
  - The size of the existing system
  - The size of the change

# Triggers for Maintenance

- Reasons for software maintenance, and thus maintenance testing
  - Modifications
    - Planned enhancements (e.g., release-based),
    - Corrective and emergency changes,
    - Changes of the operational environment (operational system and/or database upgrades),
    - Upgrades of COTS software,
    - Patches for defects and vulnerabilities.

# Triggers for Maintenance

- Reasons for software maintenance, and thus maintenance testing
  - Migration
    - Migration from one platform to another  $\Rightarrow$  operational tests
      - ❖ of the new environment
      - ❖ of the changed software
    - Data migration  $\Rightarrow$  tests of data conversion  
Data from another application are migrated into the system being maintained
    - Retirement of a system could require
      - ❖ testing of data migration,
      - ❖ testing archiving if long data retention periods are required,
      - ❖ testing of restore/retrieve procedures,
      - ❖ regression testing of remaining functionality.

# Impact Analysis for Maintenance

## Impact analysis:

The identification of all work products affected by a change, including an estimate of the resources needed to accomplish the change.

- evaluates the changes that were made for a maintenance release to identify the intended consequences
- evaluates expected and possible side effects of a change
- identifies the areas in the system that will be affected by the change
- helps to identify the impact of a change on existing tests.

# Impact Analysis for Maintenance

- Impact analysis challenges
  - Specifications (e.g., business requirements, user stories, architecture) are out of date or missing
  - Test cases are not documented or are out of date
  - Bi-directional traceability between tests and the test basis has not been maintained
  - Tool support is weak or non-existent
  - The people involved do not have domain and/or system knowledge
  - No sufficient attention has been paid to the software's maintainability during development



# Summary



- Maintenance testing is required for productive systems because of changes related to
  - Modifications
    - Planned enhancements with new/changed functionality
    - Corrective and emergency changes like hot fixes of defects
    - Patches for defects and vulnerabilities.
  - Migration
    - Migration from one platform to another
    - Data migration
    - Retirement of a system